# Ph 219a/CS 219a

#### Exercises

Due: Wednesday 16 November 2005

## 2.1 Unital maps and majorization

Recall that the action of a trace-preserving completely positive (TPCP) map  $\mathcal{E}$  can be expressed as

$$\mathcal{E}(\rho) = \sum_{\mu} M_{\mu} \rho M_{\mu}^{\dagger} , \qquad (1)$$

where

$$\sum_{\mu} M_{\mu}^{\dagger} M_{\mu} = I \ . \tag{2}$$

A TPCP map is said to be unital if  $\mathcal{E}(I) = I$ , or equivalently if

$$\sum M_{\mu}M_{\mu}^{\dagger} = I \ . \tag{3}$$

If A is a nonnegative Hermitian operator with unit trace (tr A=1), let  $\lambda(A)$  denote the vector of eigenvalues of A, which can be regarded as a probability vector. If A and B are nonnegative Hermitian operators with unit trace, we say that  $A \prec B$  ("A is majorized by B") if  $\lambda(A) \prec \lambda(B)$ . (Recall that for two probability vectors p and q, we say that  $p \prec q$  if there is a doubly stochastic matrix D such that p = Dq.)

Show that if  $\rho$  is a density operator and  $\mathcal{E}$  is a unital map, then

$$\mathcal{E}(\rho) \prec \rho$$
 . (4)

**Hint**: Express  $\rho = U\Delta U^{\dagger}$  where  $\Delta$  is diagonal and U is unitary, and express  $\rho' \equiv \mathcal{E}(\rho) = V\Delta' V^{\dagger}$ , where  $\Delta'$  is diagonal and V is unitary. Then try to show that the diagonal entries of  $\Delta'$  can be expressed as a doubly stochastic matrix acting on the diagonal entries of  $\Delta$ .

**Remark**: A unital map is the natural quantum generalization of a doubly stochastic map (a doubly stochastic map can be regarded as the special case of a unital map that preserves the basis in which  $\rho$  is diagonal). The result of the exercise shows that a unital map takes an input density operator to an output density operator that is no less random than the input.

#### 2.2 The price of quantum state encryption

Alice and Bob are working on a top secret project. I can't tell you exactly what the project is, but I will reveal that Alice and Bob are connected by a perfect quantum channel, and that Alice uses the channel to send quantum states to Bob. Alice and Bob are worried that an eavesdropper (Eve) might intercept some of Alice's transmissions. By measuring the intercepted quantum state, Eve could learn something about what Alice is sending, and perhaps make an inference about the nature of the project.

To protect against eavesdropping, Alice and Bob decide to encrypt the quantum states that Alice sends. They share a secret key, a string of random bits about which the eavesdropper knows nothing. By consuming 2n bits of secret key, Alice can encrypt, and Bob can decrypt, an arbitrary n-qubit state  $\rho$ . For every possible state  $\rho$ , the encrypted state looks exactly the same to Eve, so she cannot find out anything about  $\rho$ .

Here is how the encryption procedure works: We may express the 2n bit string x as  $x = x_1x_2 \cdots x_n$ , where  $x_i \in \{0, 1, 2, 3\}$ , and denote a tensor product of n Pauli operators as

$$\sigma(x) = \sigma_{x_1} \otimes \sigma_{x_2} \otimes \cdots \otimes \sigma_{x_{n-1}} \otimes \sigma_{x_n} \tag{5}$$

(where  $\sigma_0 = I$ ). Note that  $\sigma(x)^2 = I^{\otimes n}$ , the identity operator acting on n qubits. To encrypt, Alice consults her random string to determine x (which is chosen uniformly at random), and applies  $\sigma(x)$  to the state, obtaining  $\sigma(x)\rho\sigma(x)$ . To decrypt, Bob, consults the same string and applies  $\sigma(x)$  to recover  $\rho$ .

a) Since Eve does not know the secret key, to her the encrypted state is indistinguishable from

$$\mathcal{E}(\rho) = \frac{1}{2^{2n}} \sum_{x} \sigma(x) \rho \sigma(x) . \tag{6}$$

Show that, for any *n*-qubit state  $\rho$ 

$$\mathcal{E}(\rho) = \frac{1}{2^n} I^{\otimes n} \ . \tag{7}$$

Since  $\mathcal{E}(\rho)$  is independent of  $\rho$ , no information about  $\rho$  is accessible to Eve.

b) Alice wonders if it is possible to encrypt the state using a shorter key. Alice and Bob could use their shared randomness to sample an arbitrary probability distribution. That is, they could agree on a set of N unitary matrices  $\{U_a, a=1,2,3,\ldots,N\}$ , and Alice could encrypt by applying  $U_a$  with probability  $p_a$ . Then Bob could decrypt by applying  $U_a^{-1}$ . To Eve, the encrypted state would then appear to be

$$\mathcal{E}'(\rho) = \sum_{a} p_a U_a \rho U_a^{-1} . \tag{8}$$

Show that, if  $\mathcal{E}'(\rho) = I^{\otimes n}$ , then  $p_a \leq 2^{-2n}$  for each a.

**Hint**: Note that  $\mathcal{E}$  has an operator sum representation with Kraus operators  $\{\sigma(x)/2^n\}$  and that  $\mathcal{E}'$  has an operator sum representation with Kraus operators  $\{\sqrt{p_a}\ U_a\}$ . Furthermore  $\mathcal{E}=\mathcal{E}'$ . Therefore, there exists an  $M\times M$  unitary matrix  $V_{ax}$  (where  $M=\operatorname{Max}(N,2^{2n})$  such that  $\sqrt{p_a}U_a=\sum_x V_{ax}\sigma(x)/2^n$ . Now express  $p_a \operatorname{tr}\left(U_a U_a^{\dagger}\right)$  in terms of V.

**Remark**: The result shows that encryption requires  $N \geq 2^{2n}$ , and that at least 2n bits of key are required to specify  $U_a$ . Thus the encryption scheme in which  $\sigma(x)$  is applied is the most efficient possible scheme. (For encryption to be effective, it is enough for  $\mathcal{E}(\rho)$  to be independent of  $\rho$ ; it is not necessary that  $\mathcal{E}(\rho) = I^{\otimes n}/2^n$ . But the same result applies under the weaker assumption that  $\mathcal{E}(\rho)$  is independent of  $\rho$ .)

#### 2.3 Which state did Alice make?

Consider a game in which Alice prepares one of two possible states: either  $\rho_1$  with a priori probability  $p_1$ , or  $\rho_2$  with a priori probability  $p_2 = 1 - p_1$ . Bob is to perform a measurement and on the basis of the outcome to guess which state Alice prepared. If Bob's guess is right, he wins; if he guesses wrong, Alice wins.

In this exercise you will find Bob's best strategy, and determine his optimal probability of error.

Let's suppose (for now) that Bob performs a POVM with two possible outcomes, corresponding to the two nonnegative Hermitian operators  $E_1$  and  $E_2 = I - E_1$ . If Bob's outcome is  $E_1$ , he guesses that Alice's

state was  $\rho_1$ , and if it is  $E_2$ , he guesses  $\rho_2$ . Then the probability that Bob guesses wrong is

$$p_{\text{error}} = p_1 \text{ tr } (\rho_1 E_2) + p_2 \text{ tr } (\rho_2 E_1) .$$
 (9)

a) Show that

$$p_{\text{error}} = p_1 + \sum_{i} \lambda_i \langle i | E_1 | i \rangle , \qquad (10)$$

where  $\{|i\rangle\}$  denotes the orthonormal basis of eigenstates of the Hermitian operator  $p_2\rho_2-p_1\rho_1$ , and the  $\lambda_i$ 's are the corresponding eigenvalues.

b) Bob's best strategy is to perform the two-outcome POVM that minimizes this error probability. Find the nonnegative operator  $E_1$  that minimizes  $p_{\text{error}}$ , and show that error probability when Bob performs this optimal two-outcome POVM is

$$(p_{\text{error}})_{\text{optimal}} = p_1 + \sum_{\text{neg}} \lambda_i .$$
 (11)

where  $\sum_{\text{neg}}$  denotes the sum over all of the *negative* eigenvalues.

c) It is convenient to express this optimal error probability in terms of the *trace norm* of the operator  $p_2\rho_2 - p_1\rho_1$ ,

$$||p_2\rho_2 - p_1\rho_1||_{\text{tr}} = \text{tr} ||p_2\rho_2 - p_1\rho_1|| = \sum_{\text{pos}} \lambda_i - \sum_{\text{neg}} \lambda_i ,$$
 (12)

the difference between the sum of positive eigenvalues and the sum of negative eigenvalues. Use the property tr  $(p_2\rho_2-p_1\rho_1)=p_2-p_1$  to show that

$$(p_{\text{error}})_{\text{optimal}} = \frac{1}{2} - \frac{1}{2} ||p_2 \rho_2 - p_1 \rho_1||_{\text{tr}}.$$
 (13)

Check whether the answer makes sense in the case where  $\rho_1 = \rho_2$  and in the case where  $\rho_1$  and  $\rho_2$  have support on orthogonal subspaces.

d) Now suppose that Alice decides at random (with  $p_1 = p_2 = 1/2$ ) to prepare one of two pure states  $|\psi_1\rangle, |\psi_2\rangle$  of a single qubit, with

$$|\langle \psi_1 | \psi_2 \rangle| = \sin(2\alpha) , \quad 0 \le \alpha \le \pi/4 .$$
 (14)

With a suitable choice of basis, the two states can be expressed as

$$|\psi_1\rangle = \begin{pmatrix} \cos \alpha \\ \sin \alpha \end{pmatrix} , \qquad |\psi_2\rangle = \begin{pmatrix} \sin \alpha \\ \cos \alpha \end{pmatrix} .$$
 (15)

Find Bob's optimal two-outcome measurement, and compute the optimal error probability.

e) Bob wonders whether he can find a better strategy if his POVM  $\{E_i\}$  has more than two possible outcomes. Let p(a|i) denote the probability that state a was prepared, given that the measurement outcome was i; it can be computed using the relations

$$p_i p(1|i) = p_1 p(i|1) = p_1 \text{ tr } \rho_1 E_i ,$$
  
 $p_i p(2|i) = p_2 p(i|2) = p_2 \text{ tr } \rho_2 E_i .$  (16)

For each outcome i, Bob will make his decision according to which of the two quantities

$$p(1|i) , \qquad p(2|i) \tag{17}$$

is the larger; the probability that he makes a mistake is the smaller of these two quantities. This probability of error, given that Bob obtains outcome i, can be written as

$$p_{\text{error}}(i) = \min(p(1|i), p(2|i)) = \frac{1}{2} - \frac{1}{2}|p(2|i) - p(1|i)|$$
 (18)

Show that the probability of error, averaged over the measurement outcomes, is

$$p_{\text{error}} = \sum_{i} p_i \ p_{\text{error}}(i) = \frac{1}{2} - \frac{1}{2} \sum_{i} |\text{tr} (p_2 \rho_2 - p_1 \rho_1) E_i| \ .$$
 (19)

f) By expanding in terms of the basis of eigenstates of  $p_2\rho_2 - p_1\rho_1$ , show that

$$p_{\text{error}} \ge \frac{1}{2} - \frac{1}{2} \|p_2 \rho_2 - p_1 \rho_1\|_{\text{tr}}$$
 (20)

[Hint: Use the completeness property  $\sum_i E_i = I$ .] Since we have already shown that this bound can be saturated with a two-outcome POVM, the POVM with many outcomes is no better.

### 2.4 Semicausal and semilocal maps in the Heisenberg picture

In the Schrödinger picture, a completely positive (CP) map  $\mathcal{E}$  leaves observables fixed and takes an input density operator to an output density operator,  $\mathcal{E}: \rho_{in} \mapsto \rho_{out} = \mathcal{E}(\rho_{in})$ . In the Heisenberg picture, the dual map  $\mathcal{E}^*$  leaves density operators fixed and takes an input obervable to an output observable,  $\mathcal{E}^*: a_{in} \mapsto a_{out} = \mathcal{E}^*(a_{in})$ . If  $\mathcal{E}$  has the operator sum representation  $\mathcal{E}(\rho) = \sum_{\mu} M_{\mu} \rho M_{\mu}^{\dagger}$ , then its dual has operator sum representation

$$\mathcal{E}^*(a) = \sum_{\mu} M_{\mu}^{\dagger} a M_{\mu} . \tag{21}$$

a) If  $\mathcal{E}$  is a TPCP map, show that its dual  $\mathcal{E}^*$  can be represented as

$$\mathcal{E}^*(a) = {}_{C}\langle 0|U_{AC}^{\dagger}(a_A \otimes I_C) U_{AC}|0\rangle_C , \qquad (22)$$

where  $U_{AC}$  is a unitary transformation on AC,  $a_A$  is an observable on A,  $I_C$  is the identity on C, and  $|0\rangle_C$  is a fixed pure state in  $\mathcal{H}_C$ . (You may use the corresponding property of the TPCP map  $\mathcal{E}$ .)

b) Consider a CP map  $\mathcal{E}$  acting on a bipartite quantum system AB. We way that  $\mathcal{E}$  is semicausal if the map does not convey any information from B to A. That is, suppose that Alice and Bob share an initial state  $\rho_{AB}$ . Then if Bob performs an operation on B before the map  $\mathcal{E}$  acts, and Alice makes a measurement in A after the map  $\mathcal{E}$  acts, Alice's measurement collects no information about the operation that Bob performed. Show that if  $\mathcal{E}$  is semicausal, then there is an operation  $\tilde{\mathcal{E}}$  on A such that

$$\mathcal{E}^*(a_A \otimes I_B) = \tilde{\mathcal{E}}^*(a_A) \otimes I_B . \tag{23}$$

c) We say that  $\mathcal{E}$  is semilocal if it can be performed by means of local operations and one-way quantum communication from A to B. That is, there is a message system C that can be passed from Alice to Bob. We may assume that the initial state of ABC is a product  $\rho_{AB} \otimes \rho_{C}$  — the state of the message is uncorrelated with the joint state held by Alice and Bob. To apply  $\mathcal{E}$  to  $\rho_{AB}$ , Alice applies an operation to AC, and sends C to Bob. Then Bob applies an operation to BC, and discards C. Show that if  $\mathcal{E}$  is

semilocal, then there are CP maps  $\mathcal{G}_{AC}$  from A to AC and  $\mathcal{F}_{BC}$  from BC to B such that

$$\mathcal{E}^* = (\mathcal{G}_{AC}^* \otimes I_B) \circ (I_A \otimes \mathcal{F}_{BC}^*) ; \qquad (24)$$

here  $\circ$  denotes composition of maps, with the map on the right acting first.

d) Using the Heisenberg-picture characterizations of semicausal and semilocal maps found in (b) and (c), show that a semilocal map is semicausal, and express  $\tilde{\mathcal{E}}$  in terms of  $\mathcal{F}$  and  $\mathcal{G}$ .

**Remark**. The result (d) is intuitively obvious — communication from Alice to Bob cannot convey a signal from Bob to Alice. What is less obvious is that the converse is also true: every semicausal map is semilocal.